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Form RE-2

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Japan Center for International Exchange
2. Description of the trip: U.S. Congressional Staff Exchange to Japan: Fact Finding trip on U.S. security, economic, and political relations
3. Dates of travel: May 25 to June 1, 2019
4. Place of travel: Japan: Tokyo and Aichi
5. Name and title of Senate invitees: Please see attached
6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
– OR –
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
– AND –
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
– AND –
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

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☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip. _____

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
JCIE promotes U.S.-Japan dialogue on foreign policy and in areas of common concern, and this program
is designed to provide senior staffers with a deeper understanding of the U.S.-Japan relationship and the
dynamics of Japanese policymaking.

JCIE has sponsored over 27 Congressional Staff Exchange trips to Japan and its U.S.-Japan

Parliamentary Exchange has brought 400 Congressional and Diet members to each others'

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15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

JCIE is involved in various policy dialogues and research activities to promote analysis and discussion of critical issues facing Japan and the U.S.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$3430 (Airfare: \$2800, Train: \$200, Local: \$430)	\$1260 (\$210/night)	\$550 (\$100/day for 5.5 days)	N/A

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

This program is arranged specifically with regard to Congressional participation.

18. Reason for selecting the location of the event or trip

Tokyo offers the best access to leaders from the Japanese government and from various sectors of society. Aichi is one of Japan's main hubs for industry.

19. Name and location of hotel or other lodging facility:

Tokyo: Hotel New Otani

Aichi: Nagoya Tokyu Hotel

20. Reason(s) for selecting hotel or other lodging facility:

Tokyo: Affordable price, best suited for meetings with Japanese Diet Members & Staff

Aichi: Affordable price, appropriate meeting space, and accessible to site visits

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The expenses fall below the maximum per diem rates for Tokyo City and Nagoya as of 04/01/2019 as put forth on the State Department website

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Economy class airfare on commercial flights, economy equivalent on trains, minibuses and taxi transport on location.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:

James Gannon, Executive Director

Name and Title:

Japan Center for International Exchange (JCIE/USA)

Name of Organization:

475 Riverside Drive/Suite 731, New York, NY 10115

Address:

212.679.4130

Telephone Number:

Fax Number:

ygannon@jcie.org

E-mail Address:

14700002771

Philip "PJ" Austin, Legislative Assistant, Office of Senator Pat Roberts

Carolina Young, Policy Advisor, Office of Senator Mark Warner



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Tel: (212) 679-4130 Fax: (212) 679-8410
URL: <http://www.jcie.org>

Mr. Philip Austin
Legislative Assistant
Office of Senator Pat Roberts
109 Hart Senate Office Building,
Washington, DC 20510


It is with great pleasure that I invite you to participate in the Japan Center for International Exchange's 2019 US Congressional Staff Exchange Program in Japan. This intensive weeklong study program will be held from May 25– June 1, and it will involve meetings with high-level government, civil society, and private sector leaders as well as briefings from leading policy experts in Japan.

We ask that participants arrive in Tokyo on Sunday, May 26, which requires departing from the United States on Saturday, May 25. At the end of the program, we plan for the group to leave Japan on Saturday, June 1, and arrive in Washington DC on the same day. JCIE will make all of the necessary travel arrangements. As a program participant, economy class airfare to Japan, domestic travel in Japan, lodging, meals, and all other program-related expenses will be covered by JCIE. Prior to the trip we will host a pre-departure briefing in mid-May.

As you know, JCIE, which is a New York-based 501(c)(3) nonprofit organization, is the sponsor of the program, and the program is made possible by funding provided by the Japan-US Friendship Commission, a US federal agency.

My colleague Stephen Mchugh will be in touch with you later regarding ethics forms, travel details, and similar matters. In the meantime, please do not hesitate to contact us if you need additional information. It is a very important time in US-Japan relations, and I feel that this year's program will be a particularly fascinating one. We are grateful that you can join the program and look forward to your participation.

Sincerely,



James Gannon
Executive Director

James Gannon
Executive Director

Background on the Japanese political system, top issues in the domestic political debate, and the implications for US-Japan relations by a leading political commentator at one of Japan's top newspapers

- 10:45-11:45 **“China-Japan Relations and the Future of Asia”** [JCIE]
Akio Takahara, Professor of Contemporary Chinese Politics, Graduate School of Law and Politics, University of Tokyo
Briefing on Japanese perspective on the rise of China, and China-Japan relations from a top China scholar
- 12:00-13:30 **“Japan’s Foreign Policy Priorities”— Lunch Discussion** [JCIE]
Hitoshi Tanaka, Senior Fellow, JCIE; Chairman, Institute for International Strategy (IIS), Japan Research Institute, Ltd; Former Deputy Foreign Minister of Japan
Discussion with one of Japan’s leading diplomatic strategists on Japan’s foreign policy priorities as well as on his personal experiences conducting high-level negotiations with North Korea
- 13:30-14:00 Travel to Roppongi Hills by taxi
- 14:00-15:00 **“Japan’s Economic Prospects”** [Goldman Sachs Office]
Shigemitsu Sugisaki, Vice Chairman, Goldman Sachs Japan Co., Ltd; Former Deputy Managing Director, International Monetary Fund
Tomohiro Ota, Vice President, Senior Japan Economist, Goldman Sachs Japan Co., Ltd.
Hiroshi Ueki, Managing Director and Head of Government Affairs, Goldman Sachs Japan Co., Ltd.
Assessment of the track record of Abenomics and discussion of major macro-economic trends that are shaping Japan’s outlook and the prospects for Japanese economic growth
- 15:15-16:00 Free time
- 16:00-16:30 Travel to House of Representatives #1 Office Building & pass security
- 16:30-17:30 **“Empowering Women in Japanese Politics”**
[House of Representatives #1 Office Building]
Hon. Seiko Noda, Member, House of Representatives; former Minister of Internal Affairs and Communications

Discussion with one of the ruling party's most prominent women leaders on the challenges faced by women in Japanese politics, and on the potential for US-Japan collaboration over issues on women's leadership

18:00-18:30 Travel to restaurant [Chinese Restaurant Iwaen Kasumizaseki]

18:30-21:15 Breakout Dinner Discussion on US-Japan Relations

10~12 Foreign policy experts, young government officials, business leaders, and Diet staff

We will split up for small group discussions with English-speaking business leaders, foreign policy practitioners, and experts for dinner discussions on key issues in US-Japan relations. Many participants are drawn from the alumni of the "Tanaka Juku" study group on foreign policy issues.

Tuesday, May 28

8:00-9:15 **Breakfast Roundtable with Up-and-Coming Leaders in the Diet**
[Hotel New Otani]

3~5 Diet members

Roundtable discussion with Diet members on how Japanese legislators view US-Japan relations and what they feel the key priorities need to be.

9:30-9:50 Travel by taxi to Cabinet Office & pass security

10:00-11:00 **"Japan's Trade Policy and US-Japan Trade Relations"**
[Cabinet Office]

Atsuyuki Oike, Deputy Chief Negotiator of Governmental Headquarters for the TPP

Briefing on Japan's trade policy and the Japanese perspective on a potential US-Japan trade deal

11:15-11:45 Travel by taxi to Keidanren Headquarters

12:00-13:30 **"Japanese Business Perspectives on US-Japan Economic Relations"**
[Japanese Business Federation Headquarters]

Ichiro Hara, Director, International Affairs Bureau, Keidanren

Discussions with business executives on US-Japan trade relations, market access and non-tariff barriers, and investment in the United States. This is convened at the headquarters of the Japan Business Federation (Keidanren), which is analogous to the US Chamber of Commerce.

13:30-14:00 Travel by taxi to JCIE offices

- 14:00-15:00 **Roundtable on US-Asia Relations with Foreign Policy Experts** [JCIE]
- Ryo Sahashi, Associate Professor of International Politics, Institute for Advanced Studies on Asia, University of Tokyo
 Tsuneco Watanabe, Senior Research Fellow, Sasakawa Peace Foundation
 Naoko Eto, Research Fellow, Institute of Developing Economies, Japan External Trade Organization
- Roundtable discussion on regional relations in East Asia with mid-career experts on US-Japan relations, as well as relations with China, and Taiwan.*
- 15:00-15:45 Travel by taxi to Meiji Jingu
- 15:45-17:00 **“Religion & Politics in Japanese Society”** [Meiji Jingu Shrine]
- Rev. Moriyasu Ito, Priest, Meiji Shrine; Director of International Affairs Division, Meiji Jingu Intercultural Research
 Rev. Taisuke Usui, Priest, Meiji Shrine
- Briefing on the role that Shintoism and other Japanese religions play in contemporary society and how they influence the political debate by former foreign ministry official turned Shinto priest*
- 18:30-20:00 Optional Group Dinner

Wednesday, May 29

- 9:00-9:45 Check out from hotel & depart by taxi to Tokyo Station
- 9:50-11:31 Bullet Train (Nozomi 105) from Tokyo Station to Nagoya
- 12:00-13:30 **“Japan's Nonprofit Sector, Its Challenges, and Its Role in Local-Level Governance and International Affairs” – Lunch Briefing**
- Hideko Katsumata, Executive Director & COO, JCIE/Japan; Director, Japan NPO Center; former Commissioner, Prime Minister's Commission on the Reform of Public Interest Corporations.
- One of the most prominent women leaders in Japan's nonprofit sector will outline the growth of Japan's nonprofit sector since the 1990s, how it has struggled to serve as an alternative voice in a policymaking system that has been traditionally dominated by the government, and what nonprofit movements are doing to strengthen local-level governance and alignment with the Sustainable Development Goals.*

13:30-14:50 **Briefing on Nagoya's Urban Revitalization Efforts and Nagoya Castle**
Briefing on Nagoya's urban revitalization efforts and a site visit to Nagoya Castle to discuss the role that the strategies surrounding the castle neighborhood have played in Nagoya's urban renewal strategy.

15:00-16:20 **Site Visit to Chuden Wing Company: Exploring Models for Workplace Accessibility**
Chuden Wing Co., a subsidiary of Chubu Electric Power Company is a design and printing company that proactively recruits people with physical and developmental disabilities. It operates with 100+ staff members, nearly three-quarters of whom have some form of disability, and it has become a model of ways to make workplaces in Japan more accessible and inclusive.

16:40-17:10 **Meeting with Governor of Aichi Prefecture**
Hideaki Ohmura, Governor, Aichi Prefecture
Meeting with governor of one of Japan's leading prefectures in terms of industrial output and innovation. Will discuss Aichi's involvement in US-Japan trade and investment, what this means at the local level.

17:10-18:15 **Aichi Prefectural Government Briefing: "Aichi's Local Economic Revitalization Agenda"**
Officials from the Aichi Prefectural Government's Department of Industry and Labor, as well as other departments, will discuss Aichi's economic growth, investment, and trade promotion strategy.

18:15-18:30 Bus to hotel

18:30 Check in to Nagoya Tokyu Hotel

19:15 Informal Dinner

Thursday, May 30

9:35 Check out of hotel & meet in main lobby

9:45-11:00 Bus to Toyota City

11:00-14:45 **Toyota Site Visit: Cutting-edge Technologies in Transportation**

- Briefing on New Technologies & Guided Tour of Toyota Kaikan Museum

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Friday, May 31

9:10-9:40 Travel by minibus to US Embassy, proceed through security

9:45-10:45 **US Embassy Briefing on US-Japan Relations** [US Embassy]

3~5 US Embassy representatives

Briefing on issues in US-Japan relations including military bases in Japan, the role of the US-Japan alliance in terms of policy towards North Korea and China, trade relations, etc.

10:45-11:15 Travel by minibus to Liberal Democratic Party Headquarters

11:15-11:45 Meeting with Chief Cabinet Secretary

Hon. Yoshihide Suga, Chief Cabinet Secretary of Japan; Member, House
of Representatives [Cabinet Office]

[illegible]

Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Philip James Austin

Name of Traveler: _____

Senator Roberts

Employing Office/Committee: _____

Japan Center for International Exchange

Private Sponsor(s) (list all): _____

May 25 - June 1, 2019

Travel date(s): _____

Note: If you plan to extend the trip for any reason you must notify the Committee.

Japan: Tokyo and Aichi

Destination(s): _____

Explain how this trip is specifically connected to the traveler's official or representational duties:

I am Senator Roberts' primary advisor on tax and pensions policy as those issues relate to his duties as a senior member of the Senate Finance Committee and the Senate Health, Education, Labor, and Pensions Committee. Many of the issues that Japan is confronting are similar to the challenges facing the United States. With regard to the tax portion of my portfolio, it will be beneficial to learn the Japanese perspective on the changes to the tax code enacted by the 2017 Tax Cuts and Jobs Act, and whether any of those changes have led to Japan considering modifications to its tax code.

Name of accompanying family member (if any): _____

N/A

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

4/11/19

(Date)

Philip J. Austin

(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

Senator Pat Roberts

Philip James Austin

I, _____ hereby authorize _____

(Print Senator's/Officer's Name)

(Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

4/11/19

(Date)

Pat Roberts
(Signature of Supervising Senator/Officer)